

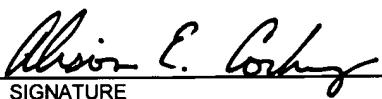
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information if it does not display a valid OMB control number.

107538991  
JULY 15 2005  
U.S. Patent and Trademark Office - U.S. DEPARTMENT OF COMMERCE

|  |   |   |
|--|---|---|
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>  |   | ATTORNEY'S DOCKET NUMBER<br>112981.126US1<br>U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br>TBA 107538991 |
| INTERNATIONAL APPLICATION NO.<br>PCT/US2003/041392   | INTERNATIONAL FILING DATE<br>22 December 2003 | PRIORITY DATE CLAIMED<br>20 December 2002   |
| TITLE OF INVENTION<br><b>HIGH PRESSURE COMPACTION FOR PHARMACEUTICAL FORMULATIONS</b>  |   |   |
| APPLICANT(S) FOR DO/EO/US<br>SMITH, Thomas J., GAUZER, Gene  |   |   |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |   |   |
| <p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))           <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> has been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</li> </ul> </p> <p>6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is attached hereto.</li> <li>b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4).</li> </ul> </p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))           <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).</li> <li>b. <input type="checkbox"/> have been communicated by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> |   |   |
| Items 11 to 20 below concern document(s) or information included:  |   |   |
| <p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A preliminary amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.</p> <p>18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information:           <ul style="list-style-type: none"> <li>1.) Petition to Correct Priority Under 37 CFR 1.182</li> <li>2.) International Search Report for PCT/US2003/041392</li> </ul> </p>  |   |   |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|   |              |   |              |   |           |
|---|--------------|---|--------------|---|-----------|
| U.S. APPLICATION NO. (if known, see 37 CFR 1.5)<br><b>TBA 10/538991</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/US2003/041392                            |              | ATTORNEY'S DOCKET NUMBER<br>112981.126US1 |           |
| The following fees have been submitted  |              |   |              | CALCULATIONS PTO USE ONLY                 |           |
| 21. <input checked="" type="checkbox"/> Basic national fee.....   |              |   |              | \$ 300.00                                 |           |
| 22. <input checked="" type="checkbox"/> Examination fee<br>If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4).....  |              |   |              | \$ 100.00                                 |           |
| All other situations.....   |              |   |              | \$ 200.00                                 |           |
| 23. <input checked="" type="checkbox"/> Search fee<br>Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....  |              |   |              | \$ 100.00                                 |           |
| International Search Report prepared and provided to the Office.....  |              |   |              | \$ 400.00                                 |           |
| All other situations.....   |              |   |              | \$ 500.00                                 |           |
| <b>TOTAL OF 21, 22 and 23 =</b>   |              |   |              | \$ 600.00                                 |           |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium).<br>The fee is \$250 for each additional 50 sheets of paper or fraction thereof. |              |   |              |   |           |
| Total Sheets  | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) |              | RATE                                      |           |
| 28  | - 100 = 0    | /50 =   |              | x \$250                                   |           |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).  |              |   |              | \$  |           |
| CLAIMS  |              | NUMBER FILED  | NUMBER EXTRA | RATE                                      |           |
| Total claims  |              | - 20 =  |              | x \$ 50                                   |           |
| Independent claims  |              | - 3 =   |              | x \$200                                   |           |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |              |   |              | + \$ 360                                  |           |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |   |              | \$ 600.00                                 |           |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.   |              |   |              |   |           |
| <b>SUBTOTAL =</b>   |              |   |              | \$ 300.00                                 |           |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).   |              |   |              | \$  |           |
| <b>TOTAL NATIONAL FEE =</b>   |              |   |              | \$ 300.00                                 |           |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property  |              |   |              | \$  |           |
| <b>TOTAL FEES ENCLOSED =</b>  |              |   |              | \$ 300.00                                 |           |
|   |              |   |              | Amount to be refunded:                    | \$        |
|   |              |   |              | Amount to be charged:                     | \$ 300.00 |
| a. <input type="checkbox"/> A check in the amount of \$ _____ to cover the above fees is enclosed.  |              |   |              |   |           |
| b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 08-0219 in the amount of \$ 300.00 to cover the above fees.<br>A duplicate copy of this sheet is enclosed.  |              |   |              |   |           |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-0219. A duplicate copy of this sheet is enclosed.                                       |              |   |              |   |           |
| d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.                  |              |   |              |   |           |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.  |              |   |              |   |           |
| SEND ALL CORRESPONDENCE TO:   |              |   |              |   |           |
| Wilmer Cutler Pickering Hale and Dorr LLP<br>60 State Street<br>Boston, MA 02109<br>(617) 526-6000<br>(617) 526-5000 (Facsimile)  |              |   |              |   |           |
| <br>SIGNATURE<br>Alison E. Corkery<br>NAME<br>52,770<br>REGISTRATION NUMBER   |              |   |              |   |           |



107538991  
JC09 Rec'd PCT/PTO 15 JUN 2005

Commissioner for Patents  
Washington, DC 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 60/435,448         | 12/20/2002  | 80           |               | TJS-007        |          |            |            |

## CONFIRMATION NO. 2387

Lisa M. Portscher  
15 Braeburn Road  
Hyde Park, MA 02136

## FILING RECEIPT



\*OC000000009576584\*

Date Mailed: 02/28/2003

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Thomas J. Smith, Weston, MA;

If Required, Foreign Filing License Granted: 02/27/2003

Projected Publication Date: None, application is not eligible for pre-grant publication

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Large crystalline formulations for sustained release delivery of anti-Parkinson agents

LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING

"LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**VERIFICATION OF MAILING BY EXPRESS MAIL**

I, LISA M. PORTSCHER, state that I have mailed the documents identified on the attached Transmittal Form/Cover Sheet to the U.S. Patent and Trademark Office, addressed to the Commissioner for Patents, Washington, D.C. 20231, via Post Office to Addressee, Label No. EU 792050075, at the HYDE PARK, MA Post Office. I have made this verification after depositing the correspondence, but within one business day after depositing the correspondence.

EU 792050075 US

Lisa M. Portscher  
(Signature)

Date of Signature: 12/20

Time of Signature: 11:30 AM

Date of Deposit: 12/20

Time of Deposit: 10:34 AM

Re: Application Number: Unknown

Filing Date: 12/20/02

Title: Large Crystalline Formulations for Sustained Release  
Delivery of Anti-Parkinson Agents

First Named Inventor: Smith, Thomas J.

Attorney Docket Number: TJS-007

Filed by: Lisa M. Portscher

10/538991

PTO/SB/16 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**PROVISIONAL APPLICATION FOR PATENT COVER SHEET**

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c).

Express Mail Label No.

EV792050075

JC09 Rec'd PCT/PTO 15 JUN 2005

**INVENTOR(S)**

|  |                        |   |
|--|------------------------|---|
| Given Name (first and middle [if any]) | Family Name or Surname | Residence<br>(City and either State or Foreign Country) |
| Thomas J.                              | Smith                  | Weston, MA  |

Additional inventors are being named on the \_\_\_\_\_ separately numbered sheets attached hereto

**TITLE OF THE INVENTION (500 characters max)**

*Large Crystalline Formulations for Sustained Release  
Delivery of Anti-Parkinson Agents*

Direct all correspondence to:

**CORRESPONDENCE ADDRESS**

Customer Number    →

OR *Type Customer Number here*

|   |                   |           |              |     |       |                         |
|---|-------------------|-----------|--------------|-----|-------|-------------------------|
| <input checked="" type="checkbox"/> Firm or Individual Name | Lisa M. Portscher |           |              |     |       | PATENT TRADEMARK OFFICE |
| Address   | 15 Braeburn Road  |           |              |     |       |                         |
| Address   |                   |           |              |     |       |                         |
| City  | Hyde Park         | State     | MA           | ZIP | 02136 |                         |
| Country   | USA               | Telephone | 617-361-5434 | Fax |       |                         |

**ENCLOSED APPLICATION PARTS (check all that apply)**

|   |  |  |  |
|---|--|--|--|
| <input checked="" type="checkbox"/> Specification Number of Pages | <span style="border: 1px solid black; padding: 2px;">16</span> | <input type="checkbox"/> CD(s), Number   | <span style="border: 1px solid black; padding: 2px;">  </span> |
| <input type="checkbox"/> Drawing(s) Number of Sheets              | <span style="border: 1px solid black; padding: 2px;">  </span> | <input type="checkbox"/> Other (specify) | <span style="border: 1px solid black; padding: 2px;">  </span> |
| <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76  |  |  |  |

**METHOD OF PAYMENT OF FILING FEES FOR THIS PROVISIONAL APPLICATION FOR PATENT**

|  |   |
|--|---|
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.   | <b>FILING FEE AMOUNT (\$)</b>                                       |
| <input checked="" type="checkbox"/> A check or money order is enclosed to cover the filing fees  |   |
| <input type="checkbox"/> The Commissioner is hereby authorized to charge filing fees or credit any overpayment to Deposit Account Number: <span style="border: 1px solid black; padding: 2px;">  </span> | <span style="border: 1px solid black; padding: 2px;">\$80.00</span> |
| <input type="checkbox"/> Payment by credit card. Form PTO-2038 is attached.  |   |

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

 No. Yes, the name of the U.S. Government agency and the Government contract number are: \_\_\_\_\_

Respectfully submitted,

SIGNATURE 

TYPED or PRINTED NAME Lisa M. Portscher

TELEPHONE 617-361-5434

Date 12/20/02REGISTRATION NO.  
(if appropriate)  
Docket Number:N/ATJS-007**USE ONLY FOR FILING A PROVISIONAL APPLICATION FOR PATENT**

This collection of information is required by 37 CFR 1.51. The information is used by the public to file (and by the PTO to process) a provisional application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the complete provisional application to the PTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Box Provisional Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

10/538991  
JC09 Rec'd PCT/PTO 15 JUN 2005

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$)  
80.00

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | Unknown          |
| Filing Date          | 12/20/2002       |
| First Named Inventor | Smith, Thomas J. |
| Examiner Name        | Unknown          |
| Art Unit             | Unknown          |
| Attorney Docket No.  | TJS - 007        |

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  Other  None

Deposit Account:

Deposit Account Number  
Deposit Account Name

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity        | Small Entity | Fee Code (\$) | Fee Code (\$) | Fee Description        | Fee Paid |
|---------------------|--------------|---------------|---------------|------------------------|----------|
| 1001 740            | 2001 370     |               |               | Utility filing fee     |          |
| 1002 330            | 2002 165     |               |               | Design filing fee      |          |
| 1003 510            | 2003 255     |               |               | Plant filing fee       |          |
| 1004 740            | 2004 370     |               |               | Reissue filing fee     |          |
| 1005 160            | 2005 80      |               |               | Provisional filing fee | 80.00    |
| <b>SUBTOTAL (1)</b> |              | <b>(\$)</b>   |               | <b>80.00</b>           |          |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Independent Claims | Multiple Dependent | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------------|--------------|----------------|----------|
|              |                    |                    | -20** =      | X              |          |
|              |                    |                    | -3** =       | X              |          |
|              |                    |                    |              | 0              | = 0      |

| Large Entity        | Small Entity | Fee Description  |
|---------------------|--------------|--|
| 1202 18             | 2202 9       | Claims in excess of 20                                     |
| 1201 84             | 2201 42      | Independent claims in excess of 3                          |
| 1203 280            | 2203 140     | Multiple dependent claim, if not paid                      |
| 1204 84             | 2204 42      | ** Reissue independent claims over original patent         |
| 1205 18             | 2205 9       | ** Reissue claims in excess of 20 and over original patent |
| <b>SUBTOTAL (2)</b> |              | <b>(\$)</b> 0.00   |

\*\* or number previously paid, if greater; For Reissues, see above

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$)                     | Fee Code (\$) | Fee Description  | Fee Paid    |
|-----------------------------------|---------------|--|-------------|
| 1051 130                          | 2051 65       | Surcharge - late filing fee or oath  |             |
| 1052 50                           | 2052 25       | Surcharge - late provisional filing fee or cover sheet                     |             |
| 1053 130                          | 1053 130      | Non-English specification  |             |
| 1812 2,520                        | 1812 2,520    | For filing a request for ex parte reexamination                            |             |
| 1804 920*                         | 1804 920*     | Requesting publication of SIR prior to Examiner action                     |             |
| 1805 1,840*                       | 1805 1,840*   | Requesting publication of SIR after Examiner action                        |             |
| 1251 110                          | 2251 55       | Extension for reply within first month                                     |             |
| 1252 400                          | 2252 200      | Extension for reply within second month                                    |             |
| 1253 920                          | 2253 460      | Extension for reply within third month                                     |             |
| 1254 1,440                        | 2254 720      | Extension for reply within fourth month                                    |             |
| 1255 1,960                        | 2255 980      | Extension for reply within fifth month                                     |             |
| 1401 320                          | 2401 160      | Notice of Appeal   |             |
| 1402 320                          | 2402 160      | Filing a brief in support of an appeal                                     |             |
| 1403 280                          | 2403 140      | Request for oral hearing   |             |
| 1451 1,510                        | 1451 1,510    | Petition to institute a public use proceeding                              |             |
| 1452 110                          | 2452 55       | Petition to revive - unavoidable   |             |
| 1453 1,280                        | 2453 640      | Petition to revive - unintentional   |             |
| 1501 1,280                        | 2501 640      | Utility issue fee (or reissue)   |             |
| 1502 460                          | 2502 230      | Design issue fee   |             |
| 1503 620                          | 2503 310      | Plant issue fee  |             |
| 1460 130                          | 1460 130      | Petitions to the Commissioner  |             |
| 1807 50                           | 1807 50       | Processing fee under 37 CFR 1.17(q)  |             |
| 1806 180                          | 1806 180      | Submission of Information Disclosure Stmt                                  |             |
| 8021 40                           | 8021 40       | Recording each patent assignment per property (times number of properties) |             |
| 1809 740                          | 2809 370      | Filing a submission after final rejection (37 CFR 1.129(a))                |             |
| 1810 740                          | 2810 370      | For each additional invention to be examined (37 CFR 1.129(b))             |             |
| 1801 740                          | 2801 370      | Request for Continued Examination (RCE)                                    |             |
| 1802 900                          | 1802 900      | Request for expedited examination of a design application                  |             |
| Other fee (specify)               |               |  |             |
| *Reduced by Basic Filing Fee Paid |               |  |             |
| <b>SUBTOTAL (3)</b>               |               | <b>(\$)</b>  | <b>0.00</b> |

(Complete if applicable)

|                   |                   |                                   |      |           |              |
|-------------------|-------------------|-----------------------------------|------|-----------|--------------|
| Name (Print/Type) | Lisa M. Portscher | Registration No. (Attorney/Agent) | N/A  | Telephone | 617-361-5434 |
| Signature         | Lisa M. Portscher |                                   | Date | 12/20/02  |              |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



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| PO ZIP Code<br>100-150   | Day of Delivery<br><input type="checkbox"/> Next <input type="checkbox"/> Second | Flat Rate Envelope<br><input type="checkbox"/> |
| Date In<br>Mo. Day Year<br>10 10 02  | Postage<br>12 Noon <input type="checkbox"/> 3 PM <input type="checkbox"/>        | \$   |
| Time In<br>AM <input type="checkbox"/> PM <input checked="" type="checkbox"/>    | Military<br><input type="checkbox"/> 2nd Day <input type="checkbox"/> 3rd Day    | Return Receipt Fee                             |
| Weight<br>lbs. 1.0<br>ozs. 0.0   | Int'l Alpha Country Code   | COD Fee  |
| No Delivery<br><input type="checkbox"/> Weekend <input type="checkbox"/> Holiday | Acceptance Clerk Initials  | Total Postage & Fees<br>\$                     |

**WAIVER OF SIGNATURE** (Domestic Only) Additional merchandise insurance is valid if waiver of signature is checked. I, when delivery is to be made without obtaining signature of addressee or addressee's agent (if delivery employee judges that article can be left in secure location) and I authorize that delivery employee's signature constitutes valid proof of delivery.

NO DELIVERY  Weekend  Holiday

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PHOENIX, AZ 85004

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## Patent Application Transmittal Form

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- Divisional,  Continuation, or  C-I-P of Prior App. # \_\_\_\_\_
- Fee Transmittal Form \$ 80.00
- Applicant claims small entity status
- Specification (Total Pages 16)
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- Application Data Sheet
- CD-ROM or CD-R in duplicate, large table or Computer Program

- Assignment Papers (coversheet and documents)
- 37 CFR 3.73(b) Statement
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- English Translation Document
- Information Disclosure Statement, PTO-1448 and \_\_\_\_\_ copies of IDS Citations
- Preliminary Amendment
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- CD-ROM or CD-R (2 copies); or
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J1046 U.S. PTO

60/435448



12/20/02

Title: Large Crystalline Formulations for Sustained Release Delivery  
of Anti-Parkinson Agents

1st Inventor: Smith, Thomas J.

Filed by: Lisa M. Potscher

Date Mailed: 12/20/02

Express Mail Label No: EU792050075

Attorney Docket No: TJS-007